SI: GRAPHCLIQUES

Sandwich problems on orientations

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Abstract The graph sandwich problem for property Π is defined as follows: Given two graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ such that $E_1 \subseteq E_2$, is there a graph G =(V, E) such that $E_1 \subseteq E \subseteq E_2$ which satisfies property Π ? We propose to study sandwich problems for properties Π concerning orientations, such as Eulerian orientation of a mixed graph and orientation with given in-degrees of a graph. We present a characterization and a polynomial-time algorithm for solving the *m*-orientation sandwich problem.

Keywords Sandwich problems · Orientation of graphs · Submodular flows

1 Introduction

Given two graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with the same vertex set V and $E_1 \subseteq E_2$, a graph G = (V, E) is called a *sandwich* graph for the pair G_1 , G_2 if $E_1 \subseteq E \subseteq E_2$. The graph sandwich problem for property Π is defined as follows [12]:

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Graph Sandwich Problem for Property Π *Instance*: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 =$ (V, E_2) with $E_1 \subseteq E_2$. Question: Is there a graph G = (V, E) such that $E_1 \subseteq E \subseteq$

 E_2 and G satisfies property Π ?

We call E_1 the *mandatory* edge set, $E_0 = E_2 \setminus E_1$ the *op*tional edge set and E_3 the forbidden edge set, where E_3 denotes the set of edges of the complementary graph \overline{G}_2 of G_2 . Thus any sandwich graph G = (V, E) for the pair G_1, G_2 must contain all mandatory edges, no forbidden edges and may contain a subset of the optional edges. Graph sandwich problems have attracted much attention lately arising from many applications and as a natural generalization of recognition problems [1–3, 7, 22, 24]. The recognition problem for a class of graphs C is equivalent to the graph sandwich problem in which $G_1 = G_2 = G$, where G is the graph we want to recognize and property Π is "to belong to class \mathcal{C} ".

In this paper we propose to study sandwich problems for properties Π concerning orientations, such as Eulerian orientation of a mixed graph and orientation with given indegrees of a graph, or more generally of a mixed graph.

The paper is organized as follows: Sect. 2 contains some basic definitions, notations and results. Section 3 contains some known results on degree constrained sandwich problems. We consider the undirected version and the directed version, the complexity, the characterization and the related optimization problems. We also define a simultaneous version and discuss its complexity. Section 4 focuses on Eulerian sandwich problems. We consider first undirected graphs and then directed graphs. These problems were already solved in [12], here we point out that the undirected case reduces to T-joins, while the directed case to circulations. We discuss the complexity of the problems and their characterizations and we also propose some mixed versions.



In Sect. 5 we consider sandwich problems regarding an m-orientation, i.e., given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$ and a non-negative integer vector m on V, we show that it is polynomial to decide whether there exists a sandwich graph G = (V, E) ($E_1 \subseteq E \subseteq E_2$) that has an orientation G whose in-degree vector is m that is $d_{G}^-(v) = m(v)$ for all $v \in V$. This result stands in contrast to the strongly connected m-orientation sandwich problem which we show is NP-complete. Section 6 is devoted to a new kind of sandwich problem where we may contract (and not delete) optional edges and property Π is being bipartite.

2 Definitions

Undirected graphs Let G = (V, E) be an undirected graph. For vertex sets X and Y, the cut induced by X is defined to be the set of edges of G having exactly one endvertex in X and is denoted by $\delta_G(X)$. The $degree\ d_G(X)$ (or $d_E(X)$) of X is the cardinality of the cut induced by X, that is, $d_G(X) = |\delta_G(X)|$. The number of edges between $X \setminus Y$ and $Y \setminus X$ is denoted by $d_G(X, Y)$. The number of edges of G having both (resp. at least one) endvertices in X is denoted by $i_G(X)$ or $i_E(X)$ or simply i(X) (resp. $e_G(X)$). It is well-known that (1) is satisfied for all $X, Y \subseteq V$,

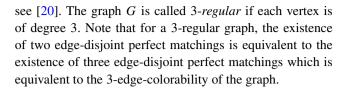
$$d_G(X) + d_G(Y) = d_G(X \cap Y) + d_G(X \cup Y)$$
$$+ 2d_G(X, Y). \tag{1}$$

We say that a vector m on V is the *degree vector* of G if $d_G(v) = m(v)$ for all $v \in V$. For a vector m on V, we consider m as a *modular* function, that is, we use the notation $m(X) = \sum_{v \in X} m(v)$. Let us recall that $d_G(X)$ is the *degree function* of G. We define \hat{d}_G as the modular function defined by the degree vector $d_G(v)$ of G. Note that $\hat{d}_G(X) = d_G(X) + 2i_G(X) \forall X \subseteq V$.

We denote by T_G the set of vertices of G of odd degree. For an edge set F of G, the subgraph induced by F, that is, (V, F), is denoted by G(F). We say that G is *Eulerian* if the degree of each vertex is even, that is, if $T_G = \emptyset$. Note that we do not suppose the graph to be connected.

Let T be a vertex set in G. An edge set F of G is called T-join if the set of odd degree vertices in the subgraph induced by F coincide with T, that is if $T_{G(F)} = T$. Given a cost vector on the edge set of G, a minimum cost T-join can be found in polynomial time by Edmonds and Johnson's algorithm [5].

Let f be a non-negative integer vector on V. An edge set F of G is called an f-factor of G if f is the degree vector of G(F), that is, $d_F(v) = f(v)$ for all $v \in V$. If f(v) = 1 for all $v \in V$, then we say that F is a 1-factor or a perfect matching. An f-factor—if it exists—can be found in polynomial time,



Directed graphs Let D = (V, A) be a directed graph. For a vertex set X, the set of arcs of D entering (resp. leaving) X is denoted by $\varrho_D(X)$ (resp. $\delta_D(X)$). The *in-degree* $d_D^-(X)$ (resp. *out-degree* $d_D^+(X)$) of X is the number of arcs of D entering (resp. leaving) X, that is $d_D^-(X) = |\varrho_D(X)|$ (resp. $d_D^+(X) = |\delta_D(X)|$). The set of arcs of G having both endvertices in X is denoted by A(X). The following equality will be used frequently without reference:

$$d_D^-(X) - d_D^+(X) = \sum_{v \in X} (d_D^-(v) - d_D^+(v)).$$
 (2)

We say that a vector m on V is the *in-degree vector* of D if $d_D^-(v) = m(v)$ for all $v \in V$. Let us recall that $d_D^-(X)$ is the *in-degree function* of D. Let f be a non-negative integer vector on V. An arc set F of D is called a *directed f-factor* of D if f is the in-degree vector of D(F), that is, $d_F^-(v) = f(v)$ for all $v \in V$.

We say that D is *Eulerian* if the in-degree of v is equal to the out-degree of v for all $v \in V$, that is, $d_D^-(v) = d_D^+(v)$ for all $v \in V$. Note that we do not suppose the graph to be connected.

Let f and g be two vectors on the arcs of D such that $f(e) \le g(e)$ for all $e \in A$. A vector x on the arcs of D is a *circulation* if (3) and (4) are satisfied.

$$x(\delta_D(v)) = x(\varrho_D(v)) \quad \forall v \in V, \tag{3}$$

$$f(e) \le x(e) \le g(e) \quad \forall e \in A.$$
 (4)

Note that if f(e) = g(e) = 1 for all $e \in A$, then D is Eulerian if and only if f is a circulation. We will use the following characterization when a circulation exists.

Theorem 1 (Hoffmann [15]) Let D = (V, A) be a directed graph and f and g two vectors on A such that $f(e) \le g(e) \forall e \in A$. There exists a circulation in D if and only if

$$f(\varrho_D(X)) \le g(\delta_D(X)) \quad \forall X \subseteq V.$$
 (5)

We say that $H = (V, E \cup A)$ is a *mixed graph* if E is an edge set and A is an arc set on V. For an undirected graph G = (V, E), if we replace each edge uv by the arc uv or vu, then we get the directed graph $\vec{G} = (V, \vec{E})$. We say that \vec{G} is an *orientation* of G.

Mixed graphs having Eulerian orientations are characterized as follows.



Theorem 2 (Ford, Fulkerson [8]) A mixed graph $H = (V, E \cup A)$ has an Eulerian orientation if and only if

$$d_A^-(v) + d_A^+(v) + d_E(v) \quad \text{is even } \forall v \in V, \tag{6}$$

$$d_A^-(X) - d_A^+(X) \le d_E(X) \quad \forall X \subseteq V. \tag{7}$$

The following theorem characterizes graphs having an orientation with a given in-degree vector.

Theorem 3 (Hakimi [13]) Given an undirected graph G = (V, E) and a non-negative integer vector m on V, there exists an orientation G of G whose in-degree vector is m if and only if

$$m(X) \ge i(X) \quad \forall X \subseteq V,$$
 (8)

$$m(V) = |E|. (9)$$

Functions Let *b* be a set function on the subsets of *V*. We say that *b* is *submodular* if for all $X, Y \subseteq V$,

$$b(X) + b(Y) \ge b(X \cap Y) + b(X \cup Y). \tag{10}$$

The function b is called *supermodular* if -b is submodular. A function is *modular* if it is supermodular and submodular. We will use frequently in this paper the following facts.

Claim 1 The degree function $d_G(Z)$ of an undirected graph G and the in-degree function $d_D^-(Z)$ of a directed graph D are submodular and the function i(Z) is supermodular.

Theorem 4 [17, 21] *The minimum value of a submodular function can be found in polynomial time.*

Theorem 5 (Frank [9]) Let b and p be a submodular and a supermodular set function on V such that $p(X) \leq b(X)$ for all $X \subseteq V$. Then there exists a modular function m on V such that $p(X) \leq m(X) \leq b(X)$ for all $X \subseteq V$. If b and p are integer valued then m can also be chosen integer valued.

A pair (p, b) of set functions on 2^V is a *strong pair* if p (resp. b) is supermodular (submodular) and they are *compliant*, that is, for every pairwise disjoint triple X, Y, Z,

$$b(X \cup Z) - p(Y \cup Z) \ge b(X) - p(Y).$$

Note that a pair (α, β) of modular functions is a strong pair if and only if $\alpha \leq \beta$. If (p, b) is a strong pair then the polyhedron

$$Q(p,b) = \{x \in \mathbb{R}^V : p(X) \le x(X) \le b(X),$$
 for every $X \subseteq V\}$

is called a *generalized polymatroid* (or a *g-polymatroid*). When $\alpha \leq \beta$ are modular, we also call the g-polymatroid $Q(\alpha, \beta)$ a box.

Theorem 6 (Frank, Tardos [11]) The intersection of an integral g-polymatroid Q(p,b) and an integral box $Q(\alpha,\beta)$ is an integral g-polymatroid. It is nonempty if and only if $\alpha \leq b$ and $p \leq \beta$.

Matroids A set system $M = (V, \mathcal{F})$ is called a *matroid* if \mathcal{F} satisfies the following three conditions:

- (I1) $\emptyset \in \mathcal{F}$,
- (I2) if $F \in \mathcal{F}$ and $F' \subseteq F$, then $F' \in \mathcal{F}$,
- (I3) if $F, F' \in \mathcal{F}$ and |F| > |F'|, then there exists $f \in F \setminus F'$ such that $F' \cup f \in \mathcal{F}$.

A subset X of V is called *independent* in M if $X \in \mathcal{F}$, otherwise it is called *dependent*. The maximal independent sets of V are the *basis* of M. Let \mathcal{B} be the set of basis of M. Then \mathcal{B} satisfies the following two conditions:

- (B1) $\mathcal{B} \neq \emptyset$,
- (B2) if $B, B' \in \mathcal{B}$ and $b \in B \setminus B'$, then there exists $b' \in B' \setminus B$ such that $(B b) \cup b' \in \mathcal{B}$.

Conversely, if a set system (V, \mathcal{B}) satisfies (B1) and (B2), then $M = (V, \mathcal{F})$ is a matroid, where $\mathcal{F} = \{F \subseteq V : \exists B \in \mathcal{B}, F \subset B\}.$

For $S \subset V$, the matroid $M \setminus S$ obtained from M by *deleting* S is defined as $M \setminus S = (V \setminus S, \mathcal{F}|_{V \setminus S})$, where $X \subseteq V \setminus S$ belongs to $\mathcal{F}|_{V \setminus S}$ if and only if $X \in \mathcal{F}$. For $S \in \mathcal{F}$, the matroid M/S obtained from M by *contracting* S is defined as $M/S = (V \setminus S, \mathcal{F}_S)$, where $X \subseteq V \setminus S$ belongs to \mathcal{F}_S if and only if $X \cup S \in \mathcal{F}$. Let $\{V_1, \ldots, V_l\}$ be a partition of V and a_1, \ldots, a_l a set of non-negative integers. Then $M = (V, \mathcal{F})$ is a matroid, where $\mathcal{F} = \{F \subseteq V : |F \cap V_i| \leq a_i\}$, we call it *partition matroid*. The *dual* matroid M^* of M is defined as follows: the basis of M^* are the complements of the basis of M.

Let $M = (V, \mathcal{F})$ be a matroid and c a cost vector on $V = \{v_1, \ldots, v_n\}$. We can find a minimum cost basis F_n of M in polynomial time by the greedy algorithm: take a non-decreasing order of the elements of $V : c(v_1) \leq \cdots \leq c(v_n)$. Let F_0 be empty and for $i = 1, \ldots, n$, let $F_i = F_{i-1} + v_i$ if $F_{i-1} + v_i \in \mathcal{F}$, otherwise let $F_i = F_{i-1}$.

If M_1 and M_2 are two matroids on the same ground set V, then we can find a common basis of M_1 and M_2 in polynomial time (if there exists one) by the matroid intersection algorithm of Edmonds [4].

Theorem 7 (Edmonds, Rota [18]) For an integer-valued, non-decreasing, submodular function b defined on a ground set S, the set $\{F \subseteq S; |F'| \le b(F') \text{ for all } \emptyset \ne F' \subseteq F\}$ forms the set of independent sets of a matroid M_b whose rank function r_b is given by

$$r_b(Z) = \min\{b(X) + |Z - X|, X \subseteq Z\}.$$

Given an undirected graph G=(V,E) and a non-negative integer vector m on V, let $\bar{m}^G=\bar{m}$ be the set function defined on E by $\bar{m}(F)=m(V(F))$ where V(F) is the set of vertices covered by F. One can easily check that \bar{m} is integer valued, non-decreasing and submodular. Thus, by Theorem 7, \bar{m} defines a matroid $M_{\bar{m}}$. The following claim is straightforward.

Claim 2 The set $\{F \subseteq E : m(X) \ge i_F(X), \forall X \subseteq V\}$ is the set of independent sets of the matroid $M_{\bar{m}}$.

3 Degree constrained sandwich problems

Before studying sandwich problems on orientations of given in-degrees, let us start as a warming up by considering sandwich problems for undirected and directed graphs of given degrees. These problems reduce to the undirected and directed *f*-factor problems. We mention that the directed case is much easier than the undirected case because the addition of an arc in a directed graph contributes only to the in-degree of the head and not of the tail, while the addition of an edge in an undirected graph contributes to the degree of both endvertices. This section contains no new results, we added it for the sake of completeness.

3.1 Undirected graphs

Undirected Degree Constrained Sandwich Problem

Instance: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$ and a non-negative integer vector f on V.

Question: Does there exist a sandwich graph G = (V, E) $(E_1 \subseteq E \subseteq E_2)$ such that $d_G(v) = f(v)$ for all $v \in V$?

Complexity: It is in P because the answer is YES if and only if there exists an $(f(v) - d_{G_1}(v))$ -factor in the optional graph $G_0 = (V, E_0)$.

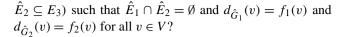
Characterization: The general f-factor theorem due to Tutte [25] can be applied to get a characterization.

Optimization: The minimum cost f-factor problem in undirected graphs can be solved in polynomial time, see Schrijver [20].

SIMULTANEOUS UNDIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM

Instance: Given two edge-disjoint graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ in $G_3 = (V, E_3)$ and two non-negative integer vectors f_1 and f_2 on V.

Question: Do there exist simultaneously sandwich graphs $\hat{G}_1 = (V, \hat{E}_1)$ $(E_1 \subseteq \hat{E}_1 \subseteq E_3)$ and $\hat{G}_2 = (V, \hat{E}_2)$ $(E_2 \subseteq E_3)$



Complexity: It is NP-complete because it contains as a special case whether there exist two edge-disjoint perfect matchings so 3-edge-colorability of 3-regular graphs. Indeed, let G = (V, E) be an arbitrary 3-regular graph. Let G_1 and G_2 be the edgeless graph on V, $G_3 = G$ and $f_1(v) = f_2(v) = 1$ for all $v \in V$. Then the sandwich graphs \hat{G}_1 and \hat{G}_2 exist if and only if \hat{E}_1 and \hat{E}_2 are edge-disjoint perfect matchings of G or equivalently, if there exists a 3-edge-coloring of G. Since the problem of 3-edge-colorability of 3-regular graphs is NP-complete [16], so is our problem.

3.2 Directed graphs

DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM

Instance: Given directed graphs $D_1 = (V, A_1)$ and $D_2 = (V, A_2)$ with $A_1 \subseteq A_2$ and a non-negative integer vector f on V

Question: Does there exist a sandwich graph D = (V, A) $(A_1 \subseteq A \subseteq A_2)$ such that $d_D^-(v) = f(v)$ for all $v \in V$?

Complexity + Characterization: It is in P because the answer is YES if and only if there exists a directed $(f(v) - d_{D_1}^-(v))$ -factor in the optional directed graph $D_0 = (V, A_0)$, hence we have the following.

Theorem 8 The DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM has a YES answer if and only if $d_{D_2}^-(v) \ge f(v) \ge d_{D_1}^-(v)$ for all $v \in V$.

Optimization: The feasible arc sets form the basis of a partition matroid, so the greedy algorithm provides a minimum cost solution.

SIMULTANEOUS DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM 1

Instance: Given two arc-disjoint directed graphs $D_1 = (V, A_1)$ and $D_2 = (V, A_2)$ in $D_3 = (V, A_3)$ and two nonnegative integer vectors f_1 and f_2 on V.

Question: Do there exist simultaneously sandwich graphs $\hat{D}_1 = (V, \hat{A}_1)$ $(A_1 \subseteq \hat{A}_1 \subseteq A_3)$ and $\hat{D}_2 = (V, \hat{A}_2)$ $(A_2 \subseteq \hat{A}_2 \subseteq A_3)$ such that $\hat{A}_1 \cap \hat{A}_2 = \emptyset$ and $d_{\hat{D}_1}^-(v) = f_1(v)$ and $d_{\hat{D}_2}^-(v) = f_2(v)$ for all $v \in V$?

Complexity: It is in P because the answer is YES if and only if $d_{D_3}^-(v) \ge f_1(v) + f_2(v)$, $f_1(v) \ge d_{D_1}^-(v)$ and $f_2(v) \ge d_{D_2}^-(v)$ for all $v \in V$.

SIMULTANEOUS DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM 2



Instance: Given directed graphs $D_1 = (V, A_1)$ and $D_2 = (V, A_2)$ with $A_1 \subseteq A_2$ and two non-negative integer vectors f and g on V.

Question: Does there exist a sandwich graph D = (V, A) $(A_1 \subseteq A \subseteq A_2)$ such that $d_D^-(v) = f(v)$ and $d_D^+(v) = g(v)$ for all $v \in V$.

Complexity: The feasible arc sets for the in-degree constraint form the basis of a partition matroid and the feasible arc sets for the out-degree constraint form the basis of a partition matroid. The answer is YES if and only if there exists a common basis in these two matroids. Thus it is in P by the matroid intersection algorithm of Edmonds [4].

4 Eulerian sandwich problems

In this section we consider first two problems that were already solved in [12]: Eulerian sandwich problems for undirected and directed graphs. We point out that the undirected case reduces to T-joins, while the directed case to circulations. We show that in both cases the simultaneous versions are NP-complete.

Then we propose to study the problem in mixed graphs. We show two cases that can be solved. The first case will be solved by the Discrete Separation Theorem 5 of Frank [9], while the second case reduces to the DIRECTED EULERIAN SANDWICH PROBLEM. The general case, however, remains open.

4.1 Undirected graphs

Undirected Eulerian Sandwich Problem

Instance: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$.

Question: Does there exist a sandwich graph G = (V, E) $(E_1 \subseteq E \subseteq E_2)$ that is Eulerian?

Complexity: It is in P because the answer is YES if and only if there exists a T_{G_1} -join in the optional graph G_0 .

Characterization: The answer is YES if and only if each connected component of G_0 contains an even number of vertices of T_{G_1} .

Optimization: The minimum cost T-join problem can be solved in polynomial time [5].

SIMULTANEOUS UNDIRECTED EULERIAN SANDWICH

Instance: Given two edge-disjoint graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ in $G_3 = (V, E_3)$.

Question: Do there exist simultaneously Eulerian sandwich graphs $\hat{G}_1 = (V, \hat{E}_1)$ $(E_1 \subseteq \hat{E}_1 \subseteq E_3)$ and $\hat{G}_2 = (V, \hat{E}_2)$ $(E_2 \subseteq \hat{E}_2 \subseteq E_3)$ such that $\hat{E}_1 \cap \hat{E}_2 = \emptyset$?

Complexity: It is NP-complete because it contains as a special case whether there exist two edge-disjoint perfect matchings so 3-colorability of 3-regular graphs. Indeed, let G = (V, E) be an arbitrary 3-regular graph. Let G_3 be obtained from G by adding 2 edge-disjoint perfect matchings M_1 and M_2 to G, let $G_1 = (V, M_1)$ and $G_2 = (V, M_2)$. Then the Eulerian sandwich graphs \hat{G}_1 and \hat{G}_2 exist if and only if $\hat{E}_1 \setminus M_1$ and $\hat{E}_2 \setminus M_2$ are edge-disjoint perfect matchings of G or equivalently, if there exists a 3-edge-coloring of G. Since the problem of 3-edge-colorability of 3-regular graphs is NP-complete [16], so is our problem.

4.2 Directed graphs

DIRECTED EULERIAN SANDWICH PROBLEM

Instance: Given directed graphs $D_1 = (V, A_1)$ and $D_2 = (V, A_2)$ with $A_1 \subseteq A_2$.

Question: Does there exist a sandwich graph D = (V, A) $(A_1 \subseteq A \subseteq A_2)$ that is Eulerian?

Complexity: It is in P because it can be reformulated as a circulation problem: let f(e) = 1, g(e) = 1 if $e \in A_1$ and f(e) = 0, g(e) = 1 if $e \in A_0$. This way the arcs of A_1 are forced and the arcs of A_0 can be chosen if necessary.

Characterization: The answer is YES if and only if $d_{D_1}^-(X) \le d_{D_2}^+(X)$ for all $X \subseteq V$ by Theorem 1.

Optimization: The minimum cost circulation problem can be solved in polynomial time, see Tardos [23].

SIMULTANEOUS DIRECTED EULERIAN SANDWICH PROBLEM

Instance: Given two arc-disjoint directed graphs $D_1 = (V, A_1)$ and $D_2 = (V, A_2)$ in $D_3 = (V, A_3)$.

Question: Do there exist simultaneously Eulerian sandwich graphs $\hat{D}_1 = (V, \hat{A}_1)$ $(A_1 \subseteq \hat{A}_1 \subseteq A_3)$ and $\hat{D}_2 = (V, \hat{A}_2)$ $(A_2 \subseteq \hat{A}_2 \subseteq A_3)$ such that $\hat{A}_1 \cap \hat{A}_2 = \emptyset$?

Complexity: It is NP-complete, it contains as a special case $(D_1 = (V, t_1s_1), D_2 = (V, t_2s_2)$ and $D_3 = D)$ the following directed 2-commodity integral flow problem that is NP-complete [6]: Given a directed graph D and two pairs of vertices, s_1 , t_1 and s_2 , t_2 , decide whether there exist a path from s_1 to t_1 and a path from s_2 to t_2 that are arc-disjoint.

4.3 Mixed graphs

MIXED EULERIAN SANDWICH PROBLEM

Instance: Given mixed graphs $H_1 = (V, E_1 \cup A_1)$ and $H_2 = (V, E_2 \cup A_2)$ with $E_1 \subseteq E_2$, $A_1 \subseteq A_2$.

Question: Does there exist a sandwich mixed graph $H = (V, E \cup A)$ ($E_1 \subseteq E \subseteq E_2, A_1 \subseteq A \subseteq A_2$) that has an Eulerian orientation?



Complexity: We provide two special cases that can be treated, while the general problem remains open.

SPECIAL CASE 1: $E_1 = E_2 = E$ and $d_{A_2}^+(X) - d_{A_1}^-(X) + \hat{d}_E(X)$ is even for all $X \subseteq V$.

Characterization + Complexity: We show that the problem is in P and we provide a characterization.

Theorem 9 The MIXED EULERIAN SANDWICH PROBLEM with $E_1 = E_2 = E$ and $d_{A_2}^+(X) - d_{A_1}^-(X) + \hat{d}_E(X)$ is even for all $X \subseteq V$ has a YES answer if and only if

$$d_{A_1}^-(X) - d_{A_2}^+(X) \le d_E(X) \forall X \subseteq V.$$
 (11)

In particular, this problem is in P.

Proof By the result of Sect. 4.2, the answer is YES if and only if there exists an orientation \vec{E} of E such that, $\forall X \subseteq V$, $d_{A_1 \cup \vec{E}}^-(X) \le d_{A_2 \cup \vec{E}}^+(X)$ or equivalently

$$d_{\vec{F}}^{-}(X) - d_{\vec{F}}^{+}(X) \le d_{A_2}^{+}(X) - d_{A_1}^{-}(X). \tag{12}$$

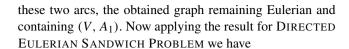
Let *m* be the in-degree vector of \vec{E} . Then $d_{\vec{E}}^-(X) - d_{\vec{E}}^+(X) = \sum_{v \in X} (d_{\vec{E}}^-(v) - d_{\vec{E}}^+(v)) = \sum_{v \in X} (2d_{\vec{E}}^-(v) - d_E(v)) = 2m(X) - \hat{d}_E(X)$, and (12) becomes

$$2m(X) \le d_{A_2}^+(X) - d_{A_1}^-(X) + \hat{d}_E(X). \tag{13}$$

Let $b(X) = \frac{1}{2}(d_{A_2}^+(X) - d_{A_1}^-(X) + \hat{d}_E(X))$. Then b, being the sum of a modular function and a submodular function $(b(X) = \frac{1}{2} \sum_{v \in X} (d_{A_1}^+(v) - d_{A_1}^-(v) + d_E(v)) + d_{A_0}^+(X))$, is a submodular function and, by the assumption, it is integer valued. By Theorem 3, an orientation \vec{E} satisfying (12) exists if and only if there exists a vector m such that $i_E(X) \leq m(X) \leq b(X)$, that is, by Claim 1 and Theorem 5, if and only if $i_E(X) \leq b(X)$. This is equivalent to (11) and can be decided in polynomial time by Theorem 4, namely the submodular function $b'(X) = b(X) - i_E(X)$ must have minimum value 0.

SPECIAL CASE 2: $E_1 = \emptyset$.

Characterization + Complexity: It is in P because it can be reformulated as the following problem: We create two copies of each edge in E_2 and orient them in opposite directions. Denote this arc set by $\overrightarrow{E_2}$. It is not difficult to see that the graph $(V, E_2 \cup A_2)$ has a subgraph containing (V, A_1) with an Eulerian orientation if and only if the graph $(V, \overrightarrow{E_2} \cup A_2)$ has a directed Eulerian subgraph containing (V, A_1) . Indeed, in such a graph, if every edge of E_2 is used at most once, we are done. If some edge of E_2 is used twice, as two arcs in opposite directions, we can just remove



Theorem 10 The MIXED EULERIAN SANDWICH PROBLEM with $E_1 = \emptyset$ has a YES answer if and only if

$$d_{A_1}^-(X) - d_{A_2}^+(X) \le d_{E_2}(X) \forall X \subseteq V. \tag{14}$$

In particular, this problem is in P.

Proof Let $D_1 = (V, A_1)$ and $D_2 = (V, A_2 \cup \overrightarrow{P_2})$. By the arguments above, the MIXED EULERIAN SANDWICH PROBLEM with $E_1 = \emptyset$ has a solution if and only if there is an Eulerian sandwich graph for D_1 and D_2 or equivalently, $d_{D_1}^-(X) \le d_{D_2}^+(X)$ for all $X \subseteq V$. By $d_{D_2}^+(X) = d_{A_2}^+(X) + d_{E_2}(X)$, we have $d_{A_1}^-(X) - d_{A_2}^+(X) \le d_{E_2}(X)$ for all $X \subseteq V$. Note that $d_{E_2}(X) + d_{A_2}^+(X) - d_{A_1}^-(X)$ is a submodular function, and hence by Theorem 4, (14) can be verified in polynomial time.

5 m-orientation sandwich problems

In this section we consider the sandwich problem where the property Π is to have an orientation of given in-degrees.

5.1 *m*-Orientation

m-ORIENTATION SANDWICH PROBLEM

Instance: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$ and a non-negative integer vector m on V

Question: Does there exist a sandwich graph G = (V, E) $(E_1 \subseteq E \subseteq E_2)$ that has an orientation G whose in-degree vector is m that is $d_{G}^-(v) = m(v)$ for all $v \in V$?

Characterization: We prove the following theorem.

Theorem 11 The following assertions are equivalent.

- (a) *The m-*Orientation Sandwich Problem *has a* YES *answer*.
- (b) E_1 is independent in $M_{\bar{m}}$ and $M_{\bar{m}}$ has an independent set of size m(V).
- (c) $r_{\bar{m}}(E_1) = |E_1|$ and $r_{\bar{m}}(E_2) \ge m(V)$.
- (d) $i_{E_1}(X) \leq m(X) \leq e_{E_2}(X)$ for all $X \subseteq V$.

Proof

(a) Implies (d). Let $X \subseteq V$. Since each edge of G_1 in X contributes 1 to m(X), we have $i_{E_1}(X) \le m(X)$. On the other hand, the edges of G_2 that have no end-vertex in X cannot contribute 1 to m(X), so we have $m(X) \le e_{E_2}(X)$.



- (d) Implies (c). Let F be a subset of E_1 and X = V(F). The condition $i_{E_1}(X) \leq m(X)$ implies $|F| \leq m(V(F)) = \bar{m}(F)$, that is, $|E_1| \leq \bar{m}(F) + |E_1 \setminus F|$. By Theorem 7, $r_{\bar{m}}(E_1) \geq |E_1|$, or equivalently $r_{\bar{m}}(E_1) = |E_1|$. Let now F be a subset of E_2 and $X = V \setminus V(F)$. The condition $m(X) \leq e_{E_2}(X)$ implies that $m(V) \leq m(V(F)) + e_{E_2}(V V(F)) \leq \bar{m}(F) + |E_2 \setminus F|$. By Theorem 7, $r_{\bar{m}}(E_2) \geq m(V)$.
 - (c) Implies (b). By definition.
- (b) Implies (a). By (b), E_1 is independent in $M_{\bar{m}}$ and there exists an independent in $M_{\bar{m}}$ of size m(V). Therefore, by (13), there exists an independent set E of size m(V) that contains E_1 . By Theorem 3 and Claim 2, E is a solution of the m-ORIENTATION SANDWICH PROBLEM.

We say that a subset F of E_0 is *feasible* if $(V, F \cup E_1)$ has an m-orientation. The next corollary of Theorem 11 characterizes the feasible sets.

Corollary 1 If the m-ORIENTATION SANDWICH PROBLEM has a YES answer, then a subset F of E_0 is feasible if and only if F is a base of the matroid $M_{\bar{m}}/E_1$.

Complexity: The condition (d) of Theorem 11 can be verified in polynomial time by Theorem 4, so the m-ORIENTATION SANDWICH PROBLEM is in P.

Optimization: The minimum cost version of the problem can be solved in polynomial time. First, we find an optimal feasible subset F by greedy algorithm. Then we can orient the edges of $F \cup E_1$ using a known algorithm. (See [10] for example.)

Corollary 1 and the matroid intersection algorithm of Edmonds [4] imply that the two following simultaneous versions of the m-ORIENTATION SANDWICH PROBLEM are also in P.

SIMULTANEOUS m-ORIENTATION SANDWICH PROBLEM 1 Instance: Given two edge-disjoint undirected subgraphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ of an undirected graph $G_3 = (V, E_3)$ and two non-negative integer vectors m_1 and m_2 on V.

Question: Do there exist simultaneously edge-disjoint sandwich graphs $\hat{G}_1 = (V, \hat{E}_1)$ $(E_1 \subseteq \hat{E}_1 \subseteq E_3)$ and $\hat{G}_2 = (V, \hat{E}_2)$ $(E_2 \subseteq \hat{E}_2 \subseteq E_3)$ such that \hat{G}_i has an orientation whose in-degree vector is m_i for $i \in \{1, 2\}$?

Note that the two input matroids for the matroid intersection algorithm must be taken as $(M_{\tilde{m}_1}^{G_1}/E_1) \setminus E_2$ and the dual matroid of $(M_{\tilde{m}_2}^{G_2}/E_2) \setminus E_1$.

SIMULTANEOUS *m*-ORIENTATION SANDWICH PROBLEM 2 *Instance*: Given two undirected subgraphs $G_1 = (V, E_1)$

and $G_2 = (V, E_2)$ of an undirected graph $G_3 = (V, E_3)$ and two non-negative integer vectors m_1 and m_2 on V.

Question: Does there exist an edge set F in $E_3 \setminus (E_1 \cup E_2)$ such that the graph $G_i = (V, E_i \cup F)$ admits an orientation whose in-degree vector is m_i for $i \in \{1, 2\}$?

5.2 Strongly connected *m*-orientation

STRONGLY CONNECTED m-ORIENTATION SANDWICH PROBLEM

Instance: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$ and a non-negative integer vector m on V.

Question: Does there exist a sandwich graph G = (V, E) $(E_1 \subseteq E \subseteq E_2)$ that has a strongly connected orientation \vec{G} whose in-degree function is m?

Complexity: It is NP-complete because the special case $E_1 = \emptyset$, $m(v) = 1 \forall v \in V$ is equivalent to decide if G_2 has a Hamiltonian cycle.

5.3 (m_1, m_2) -orientation

 (m_1, m_2) -ORIENTATION SANDWICH PROBLEM Instance: Given undirected graphs $G_1 = (V, E_1)$ and $G_2 = (V, E_2)$ with $E_1 \subseteq E_2$ and non-negative integer vectors m_1 and m_2 on V.

Question: Does there exist a sandwich graph G = (V, E) $(E_1 \subseteq E \subseteq E_2)$ that has an orientation G whose in-degree vector is m_1 and whose out-degree vector is m_2 ?

Complexity: The problem is NP-complete since it contains as a special case ($E_1 = \emptyset$) the NP-complete problem of [19].

5.4 Mixed *m*-orientation

MIXED m-ORIENTATION SANDWICH PROBLEM

Instance: Given mixed graphs $G_1 = (V, E_1 \cup A_1)$ and $G_2 = (V, E_2 \cup A_2)$ with $E_1 \subseteq E_2$, $A_1 \subseteq A_2$ and an non-negative integer vector m on V.

Question: Does there exist a sandwich mixed graph $G = (V, E \cup A)$ with $E_1 \subseteq E \subseteq E_2$ and $A_1 \subseteq A \subseteq A_2$ that has an orientation $\overrightarrow{G} = (V, \overrightarrow{E} \cup A)$ whose in-degree vector is m?

Characterization: Suppose that $E_1 \subseteq E \subseteq E_2$ has been chosen and oriented, then the problem is reduced to the DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM with $m_1(v) = m(v) - d_{\overrightarrow{E}}^-(v)$ which, by Theorem 8, has a solution if and only if $d_{A_2}^-(v) \ge m(v) - d_{\overrightarrow{E}}^-(v) \ge d_{A_1}^-(v)$ for all $v \in V$. Hence the MIXED m-ORIENTATION SANDWICH PROBLEM has a solution if and only if there exists $E_1 \subseteq E \subseteq E_2$ which admits an orientation \overrightarrow{E} with



 $m(v)-d_{A_1}^-(v)\geq d_{\overrightarrow{E}}^-(v)\geq m(v)-d_{A_2}^-(v)$ for all $v\in V$. Let $m_2:V\to\mathbb{Z}$ satisfy $m(v)-d_{A_2}^-(v)\leq m_2(v)\leq m(v)-d_{A_1}^-(v)$. By Theorem 11, there exists $E_1\subseteq E\subseteq E_2$ which admits an orientation \overrightarrow{E} with $d_{\overrightarrow{E}}^-(v)=m_2(v)$ if and only if $i_{E_1}(X)\leq m_2(X)\leq e_{E_2}(X)$ for all $X\subseteq V$. Therefore we have

Claim 3 The MIXED m-ORIENTATION SANDWICH PROBLEM has a YES answer if and only if there exists an integer-valued function $m_2: V \to \mathbb{Z}$ such that, $\forall v \in V$ and $\forall X \subseteq V$,

$$m(v) - d_{A_2}^-(v) \le m_2(v) \le m(v) - d_{A_1}^-(v),$$

 $i_{E_1}(X) \le m_2(X) \le e_{E_2}(X).$

Claim 4 The pair (i_{E_1}, e_{E_2}) is a strong pair.

Proof Let X, Y, Z be three pairwise disjoint subset of V. We show that $e_{E_2}(X \cup Z) - i_{E_1}(Y \cup Z) \ge e_{E_2}(X) - i_{E_1}(Y)$. In fact, we have $i_{E_1}(Y \cup Z) - i_{E_1}(Y) = i_{E_1}(Z) + d_{E_1}(Y, Z) \le i_{E_2}(Z) + d_{E_2}(Y, Z)$, and $e_{E_2}(X \cup Z) - e_{E_2}(X) = i_{E_2}(Z) + d_{E_2}(Z) - d_{E_2}(X, Z)$. As X, Y, Z are pairwise disjoint, $d_{E_2}(Y, Z) + d_{E_2}(X, Z) \le d_{E_2}(Z)$. The claim follows by Claim 1.

By Claim 3, 4 and Theorem 6 applied for $\alpha(v) = m(v) - d_{A_2}^-(v)$, $\beta(v) = m(v) - d_{A_1}^-(v)$, $p = i_{E_1}$, $b = e_{E_2}$, we have

Theorem 12 The MIXED m-ORIENTATION SANDWICH PROBLEM has a YES answer if and only if

$$i_{E_1}(X) + \hat{d}_{A_1}^-(X) \le m(X) \le e_{E_2}(X) + \hat{d}_{A_2}^-(X)$$
 (15)

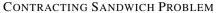
for every subset X of V.

Note that Theorem 12 implies Theorems 8 and 11.

Complexity: The condition (15) can be verified in polynomial time by Theorem 4. If it is satisfied, then a vector m_2 satisfying the conditions in Claim 3 can be found using a greedy algorithm for g-polymatroids. Then we find and orient an edge set E ($E_1 \subseteq E \subseteq E_2$) with in-degree m_2 (m-ORIENTATION SANDWICH PROBLEM). Last, we choose an arc set A ($A_1 \subseteq A \subseteq A_2$) such that $d_A^-(v) = m_1(v) = m(v) - m_2(v)$, for all $v \in V$ (DIRECTED DEGREE CONSTRAINED SANDWICH PROBLEM).

6 Contracting sandwich problems

In this section, we propose to consider a new type of sandwich problem. Instead of deleting edges from the optional graph, we are interested in contracting edges. We solve the problem for the property Π being a bipartite graph.



Instance: Given an undirected graph G = (V, E) and $E_0 \subseteq E$.

Question: Does there exist $F \subseteq E_0$ such that contracting F results in a bipartite graph?

Complexity: Since a graph is bipartite if and only if all its cycles have an even length, the problem is equivalent to finding $F \subseteq E_0$ such that, for all cycles C, $|C \cap F| \equiv |C| \mod 2$.

Fix a spanning forest T of G. For $e \in E \setminus T$, denote C(T,e) the unique cycle contained in $T \cup e$. By [18, Theorem 9.1.2], if C is a cycle of G then $C = \Delta_{e \in C} C(T,e)$, where Δ denotes the symmetric difference of sets. Therefore, $|C \cap F| \equiv \sum_{e \in C} |C(T,e) \cap F| \mod 2$. Let \mathcal{C}_T denote the collection of cycles C(T,e) of G. The problem is reduced to finding $F \subseteq E_0$ such that, for all $C \in \mathcal{C}_T$, $|C \cap F| \equiv |C| \mod 2$, or equivalently, finding an $F'(=E \setminus F) \supseteq E_1 = E \setminus E_0$ such that $|F' \cap C| \equiv 0 \mod 2$, for all $C \in \mathcal{C}_T$.

Consider now the matrix M defined as the following. The rows of M correspond to $C \in \mathcal{C}_T$ and the columns correspond to the edges of G; the entry M_{Ce} is 1 if $e \in C$ and is 0 otherwise. For $X \subseteq E$, let χ_X denote the characteristic vector of X. For a vector $x \in \{0, 1\}^E$, let $x_{|X|}$ denote the projection of x on X. Let 1 be the all-one vector in $\{0, 1\}^E$. A subset $F' \subseteq E$ satisfies $|F' \cap C| \equiv 0 \mod 2$, for all $C \in \mathcal{C}_T$, if and only if $\chi_{F'} \in \text{Ker} M$ in \mathbb{F}_2 . Such an F' is the solution of the Contracting Sandwich Problem if and only if $\chi_{F'|E_1} = \mathbf{1}_{|E_1|}$.

Let B be a basis of the kernel of M in \mathbb{F}_2 . (This can be computed in polynomial time using the Gauss elimination.) Consider the projections B' of B on E_1 . Then the CONTRACTING SANDWICH PROBLEM has a solution if and only if $\mathbf{1}_{|E_1}$ is in the subspace of $\{0,1\}^{E_1}$ spanned by B', that is, rank $B' = \operatorname{rank} B' \cup \mathbf{1}_{|E_1}$. This can be decided in polynomial time using the Gauss elimination. We conclude that the CONTRACTING SANDWICH PROBLEM is in P.

We finish with a related problem. For a fixed integer k, solving the CONTRACTING SANDWICH PROBLEM when $E_0 = E$ with extra requirement $|F| \le k$ is known to be tractable in polynomial time [14]. However, the authors mention that finding a solution of minimum cardinality is NP-complete.

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